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PTO/SB/21 (05-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

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Total Number of Pages in This Submission

Application Number	10/084,414
Filing Date	February 27, 2002
First Named Inventor	Joseph A. Kwak
Art Unit	2662
Examiner Name	Not Yet Known
Attorney Docket Number	I-2-0203.1US

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Form PTO-1449 and Copy of One (1) Cited Reference
<input checked="" type="checkbox"/> Supplemental Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
	Remarks	

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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Frank A. Mazzeo	Reg. No. 46,259
Signature		
Date	12/1/03	

### CERTIFICATE OF TRANSMISSION/MAILING

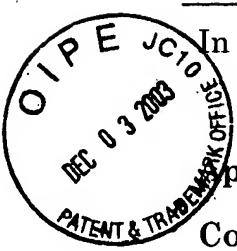
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name	Frank A. Mazzeo		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In the PATENT APPLICATION of:

Joseph A. Kwak

Application No.: 10/084,414

Confirmation No.: 8154

Filed: February 27, 2002

For: BASE STATION IMPLEMENTING A  
PHYSICAL LAYER AUTOMATIC REPEAT  
REQUEST

Group: 2662

Examiner: Not Yet Known

Our File: I-2-0203.1US

Date: December 1, 2003

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
INCLUDING RULE 1.97(e)(2) STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Form PTO-1449, which was cited in an office action in related U.S. Application No. 10/085,187 (attorney docket no. I-2-0203.4US). A copy of the cited reference are enclosed.

Although not required since no office action has issued yet in the instant case, the undersigned hereby makes a statement under 37 C.F.R. §1.97(e)(2): no item of information contained in this Supplemental Information Disclosure Statement (SIDS) was cited in any communication from a foreign patent office in a counterpart foreign application, nor, to the knowledge of the undersigned after making reasonable inquiry,

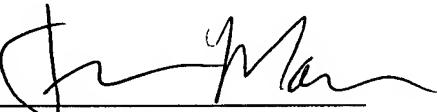
**Applicant: Joseph A. Kwak**  
**Application No.: 10/084,414**

known to any individual designated in §1.56(c) more than three months prior to the filing of this SIDS.

It is respectfully requested that the Examiner consider this document and return an initialed copy of the Form PTO-1449 indicating consideration of the cited materials.

Respectfully submitted,

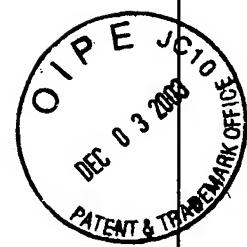
Joseph A. Kwak

By 

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Enclosures



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)							ATTY. DOCKET NO. I-2-0203.1US		SERIAL NO. 10/084,414				
							APPLICANT Joseph A. Kwak						
							FILING DATE February 27, 2002		GROUP 2662				
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	BM	6	4	4	9	2	4	6	09/2002	Barton et al.			
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
												YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
EXAMINER								DATE CONSIDERED					

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.